



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE

SUPPLEMENTAL APPLICATION

REAL ESTATE (including Title Insurance Agents and Environmental Concerns)

Name of Applicant (The Firm): \_\_\_\_\_

SECTION I - GENERAL INFORMATION

1. For the current year, what percentage of the total billable hours from the applicant's real estate area of practice comes from the following areas:

A. Purchase and Sale of:

- (i) Residential Property \_\_\_\_\_%
(ii) Commercial Property \_\_\_\_\_%

B. Land Use

NOTE: This does not include syndications, limited partnerships, or other real estate investment vehicles. Please complete a Securities Supplement if applicant performed any of these activities.

C. Representation of Secured Lenders, Borrowers or Sellers in Real Estate Transactions \_\_\_\_\_%

- (i) Lenders \_\_\_\_\_%
(ii) Borrowers \_\_\_\_\_%
(iii) Sellers \_\_\_\_\_%

D. Real Estate Tax Abatement/Property Valuation \_\_\_\_\_%

E. Condominiums and Cooperatives \_\_\_\_\_%

F. Other (Describe on separate sheet and attach to this supplemental application) \_\_\_\_\_%
Total 100%

2. Does the applicant provide any title abstracting services in connection with activities described in 1.A. above? [ ] YES [ ] NO

If "Yes," please describe. \_\_\_\_\_

3. Does the applicant provide any financial or valuation analysis of client's real estate transactions (e.g., tax ramifications or appraisals)? [ ] YES [ ] NO

If "Yes," please describe. \_\_\_\_\_

**SECTION II - TITLE INSURANCE AGENTS**

1. List the names of the applicant's present and former partners, members, employees, stockholders, officers or attorneys who have performed services during the past five years as title insurance agents and their years of experience as such:

Individual Performing Services as Title Insurance Agent	Years of Experience As Title Insurance Agent

2. List the names of the title insurance companies whom the applicant represents and the approximate premium volume placed with each:

Name of Title Insurance Company	Approximate Premium Volume

3. Please make sure all actual or expected professional liability claims arising from services performed as a title insurance agent are completed along with other professional liability claims as directed in the main application, question 22.

**SECTION III - ENVIRONMENTAL CONCERNS**

1. Have any of the applicant's legal services in the past five (5) years in connection with a property transfer, leasing transaction, loan or foreclosure proceeding included the coordination, evaluation, or summation of environmental audits?  YES  NO
2. Have any of the applicant's legal services in the past five (5) years in connection with a property transfer, leasing transaction, loan or foreclosure proceeding included an evaluation of:
- A. Whether any real or personal property owned, leased, operated or held as security now or in the past, or to be acquired or transferred, is likely to be contaminated by hazardous substances (e.g., asbestos, lead, PCBs, etc.)?  YES  NO

Applicant By \_\_\_\_\_ DATE \_\_\_\_\_  
SIGNATURE OF OFFICER OR PARTNER OF FIRM PRINT NAME OF OFFICER OR PARTNER

Application must be signed by duly authorized proprietor, partner, member or officer of the firm.

**SECTION III - ENVIRONMENTAL CONCERNS, CONT'D**

2. B. Whether any specific site locations owned, leased, operated or held as security now or in the past, or property to be acquired or transferred, are located in or adjacent to, ecologically sensitive areas (e.g., wetlands, aquifers, conservation areas, etc.)?  YES  NO
- C. Whether any client, or affiliate of any client, has ever been fined, penalized, cited or sued for violating any federal, state or local environmental law or regulation?  YES  NO
3. A. Does the applicant have a procedure which requires the investigation of potential material environmental risks before resolution of price and other central terms and conditions for any transfer of interest in or management of real property?  YES  NO
- B. If "Yes," is it in writing?  YES  NO  
*(If "No," please explain on a separate sheet of paper and attach to this supplemental application)*
- C. Is this information disclosed to the client?  YES  NO
4. A. Does the applicant have a procedure which requires its attorneys to perform, with the client, a thorough review of the economic impact of known environmental considerations and potential benefits of further identification or qualification of environmental risks, in property transfer or leasing transactions with potential material environmental exposure?  YES  NO
- B. If "Yes", is it in writing?  YES  NO  
*(If "No," please explain on a separate sheet of paper and attach to this supplemental application)*
5. A. Does the applicant have a procedure which addresses the handling of confidential material concerning environmental audits or investigations of parties, involved in transfers of property?  YES  NO
- B. If "Yes", is it in writing?  YES  NO  
*(If "No," please explain on a separate sheet of paper and attach to this supplemental application)*
6. A. Does the applicant have a procedure requiring the preservation of the written records of the firm concerning disclosure of site contamination information to potential buyers or lessees?  YES  NO
- B. If "Yes", is it in writing?  YES  NO  
*(If "No," please explain on a separate sheet of paper and attach to this supplemental application)*
- C. If "Yes" to 5.A. above, for how long are such records maintained? \_\_\_\_\_
7. A. Does the applicant have a procedure requiring its real estate attorney to participate in in-house seminars and/or to attend continuing legal education seminars on current environmental developments?  YES  NO
- B. If "Yes," is it in writing?  YES  NO  
*(If "No," please explain on a separate sheet of paper and attach to this supplemental application)*

Applicant By \_\_\_\_\_ DATE \_\_\_\_\_  
 SIGNATURE OF OFFICER OR PARTNER OF FIRM PRINT NAME OF OFFICER OR PARTNER

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