



APPLICATION FOR LAWYERS PROFESSIONAL LIABILITY INSURANCE

SUPPLEMENTAL APPLICATION

FINANCIAL INSTITUTIONS

Name of Applicant (The Firm): \_\_\_\_\_

- This supplement should be completed if:
i) at any time within the past ten (10) years the firm, or any attorney of the firm...
ii) any attorney of the firm, or former attorney while affiliated with the firm...
Please photocopy this supplement and provide a separate sheet for each financial institution client within the past ten (10) years.

1 Institution's Name: \_\_\_\_\_

2 Locations: \_\_\_\_\_

3. The above financial institution is a [ ] past; or [ ] present client of a firm attorney.

4. Briefly state the nature of services rendered and the dates last rendered: \_\_\_\_\_

5. Has this financial institution ceased operations, gone insolvent, been declared insolvent, or is it now controlled or operated by the FDIC, OCC and OTS or any other government agency? [ ] YES [ ] NO
(If "Yes," please provide full particulars on a separate sheet of paper and attach to this supplemental application.)

6. Has any member (or former member) of the applicant firm: [ ] YES [ ] NO

A. Had loan commitments with the above institution? [ ] YES [ ] NO

B. Held stock or other financial interest in the above institution? [ ] YES [ ] NO

If "yes," what is the dollar value of such interest? \$ \_\_\_\_\_

C. Acted as a director or officer of the above institution? [ ] YES [ ] NO

Dates Position held: from \_\_\_ / \_\_\_ / \_\_\_ to \_\_\_ / \_\_\_ / \_\_\_

D. Participated in the preparation of the institution's response to regulatory examination reports? [ ] YES [ ] NO

E. Participated or assisted in the rendering of advice on regulatory issues? [ ] YES [ ] NO

F. Provided the following legal services to the above institution?

General Counsel [ ] YES [ ] NO

Regulatory Counsel [ ] YES [ ] NO

Assist in preparation of regulatory examination reports [ ] YES [ ] NO

Loan closings [ ] YES [ ] NO

Loan documentation [ ] YES [ ] NO

Litigation [ ] YES [ ] NO

Securities Work [ ] YES [ ] NO

